

Avoiding Infection Prevention Survey Catastrophes

Clinical Quality Insights

Thursday, November 17, 2022



MONTHLY CLINICAL QUALITY INSIGHTS

Webinar Schedule & Topics

THE 3RD THURSDAY OF EVERY MONTH:
10AM Pacific, 1PM Eastern

NOVEMBER

Preventing Infection-Related Survey Disasters

DECEMBER

Looking Forward to 2023

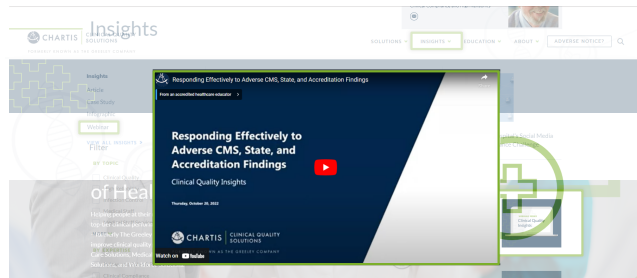
JANUARY

Doing More with Less: Streamlined Policies and Documentation

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.

2

Past Webinars Available for Streaming



© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.

3



We are a partner to healthcare organizations nationwide, helping to advance patient safety and clinical quality for the past 30+ years. We help healthcare providers achieve top-tier clinical performance through our four lines of business:

- High Reliability Care Solutions
- Medical Staff Services Optimization
- Education Solutions
- Chartis Workforce Solutions

Chartis Clinical Quality Solutions
888.749.3054
chartisquality@chartis.com



Readiness, Response, Reliability

- Rapid Response to Regulatory Emergencies
- Resolving CMS and TIC Adverse Actions
- CMS and Accreditation Survey Readiness
- Environment of Care, Life Safety, and Emergency Preparedness
- Hospital-CMS Systems Improvement Agreements ...the National Leader
- Emergency Department/ EMTALA
- Behavioral Health
- Infection Prevention
- Patient Safety
- Process/Policy Simplification
- Streamlined Health Records
- Process Implementation
- Quality Monitoring and Improvement

Integration with other best-in-class consulting services offered by Chartis

SIMPLIFY & COMPLY

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.

4

What sort of organization do you represent?

Hospital or Critical Access Hospital

Psychiatric Hospital or Unit

Health System

Other Provider of Healthcare Services

Consulting Group

Other



Objectives



Getting your arms around common problems:

- Sterilization cycle,
- Safe food handling and storage,
- Environmental cleanliness and safety,
- Appropriate use of personal protective equipment,
- Standard and transmission-based precautions,
- Epidemic/pandemic readiness

Program slides
are shared as a
PDF in the Chat
function.



Organizing and Networking for Success

TODAY'S

Discussion

Facing healthcare's most
daunting challenge through
simplicity, networking,
and effective oversight.

How to make the most
of scarce resources.



Phillip Boaz RN, MSN, CIC
Senior Consultant,
Clinical Compliance and High Reliability



Lisa Eddy MSN, MHA, RN, CPHQ
Vice President,
Clinical Compliance and High Reliability



Bud Pate
Vice President, Content & Learning,
Clinical Compliance and High Reliability

“

**Focus and
Reinforce**

**Involve
Executive
Sponsors**

”

What is your primary role within your organization?

Infection Preventionist

Nursing Leader (CNO, Director, Manager)

Other Executive Leader (CEO, COO, CFO)

Quality Director

Environmental Safety Officer / Engineer

Regulatory Compliance Designee

Consultant

Other



TODAY'S
Agenda

01

Infection Prevention
Compliance Challenges

02

Making Friends and
Influencing People

03

Keys to Success

Questions should be posted in the webinar interface throughout the presentation.
We will respond to any unanswered questions in writing following the webinar.

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.9

TODAY'S
Agenda

01

Infection Prevention
Compliance Challenges

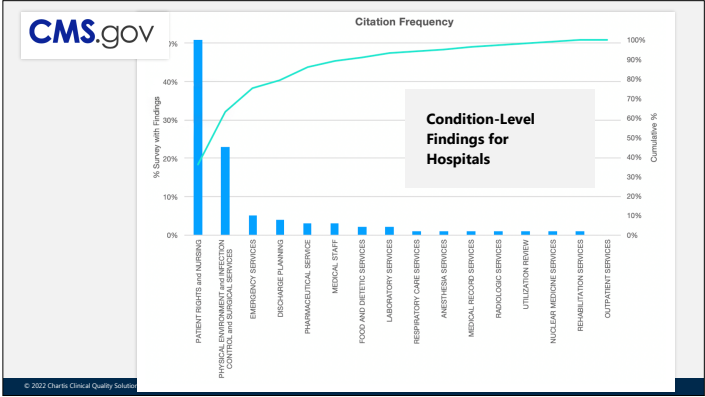
02

Making Friends and
Influencing People

03

Keys to Success

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.10



Top 10 "Higher Importance"
Joint Commission Requirements for Improvement: 2021

	Moderate Risk	High Risk	Immediate Threat		
IC.02.02.01 EP02		277	20	433	17%
Disinfection and Sterilization		204	1	372	14%
MM.06.01.01 EP03	107	233		340	13%
Medications	04	51		255	10%
EC.02.06.01 EP01	01	131		232	9%
EC.02.05.01 EP15	68	149		217	8%
EC.02.02.01 EP05	133	64	1	198	8%
Hazardous Chemicals	142	54		196	8%
IP Activities	80	110	1	191	7%
Storage	44	135		179	7%
NPSG 15.01.01 EP04					
Suicide					
Total	1182	1408	23	2613	100%
Percent	45%	54%	1%	100%	

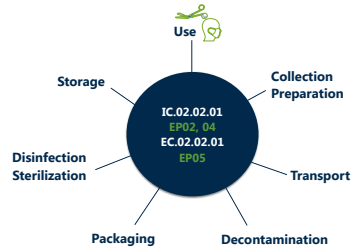
Source: Joint Commission Perspectives, May 2020: 1,363 Hospital Surveys Conducted in 2020

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.11

Sterilization/Disinfection Cycle (Simplified)

The Sterilization Cycle is **NOT** under the sole control of the sterile processing department.

It touches almost every setting and clinical discipline.



INFECTION RELATED Survey Findings

Disinfection

Sterilization / HL
Disinfection Cycle

Temperature and Humidity

Operating Rooms
Procedure Rooms
Processing
and Storage

Food Handling

Storage Temp
Food Code

Surgical Attire

Use of PPE

Transmission Based
Precautions

Pandemic Readiness

Environment of Care

Cleanliness
Maintenance
Risk Assessments

TODAY'S Agenda

- 01 Infection Prevention
Compliance Challenges
- 02 **Making Friends and
Influencing People**
- 03 Keys to Success



DISCUSSION

Risk Assessment and Plan

- Identify High Priority Items
- Geographic Location
- Be Nimble Change plan as indicated throughout the year
- Evaluate effectiveness
- Follow Through
- Escalate Visibility
- Simplify
 - What's going well
 - What's not getting better
 - Biggest Vulnerabilities

Effective Infection Prevention Programs



Executive Sponsorship

The degree to which the infection control program has access to executive leadership and its support is demonstrated through the dedication of resources and accountability through the operational chain of command



Program Effectiveness

The degree to which the eight essential functions of infection prevention are realized:

- Risk Assessment
- Surveillance
- Process Design / Implementation
- Meeting Management
- Data Collection and Reporting
- Survey Readiness
- Antimicrobial Stewardship
- Emergency Preparedness



Staffing and Organization

How departmental functions are staffed and organized and where the department sits within the organizational structure

IN THE MEAN TIME: MAKE FRIENDS AND INFLUENCE PEOPLE

Friends in the Right Places

01

Operating Room Director

- Airflow, temperature, and humidity
- Room turnovers and general cleanliness
- Attire
- Point of use care of instruments
- Storage of sterile implants (rep storage area)
- Immediate Use Steam Sterilization

Sterile Processing Director

- Processing and Storage (AAMI/ANSI)
- Air Flow
- Temperature and Humidity
- Instructions for Use / Preventive Maintenance

Friends in the Right Places

02

Pharmacy Director

- Sterile Compounding (USP 797/800)
- Multi-dose Vials
- Drug Storage / Temperature
- Medication Administration
- Antimicrobial Stewardship

Hemodialysis Director / Contractor

- Water System Management
- Dialysis Machine Disinfection
- Water Treatment (RO) Disinfection
- Cultures and Exotoxins
- Accessing Grafts, Fistulae, and Lines

Food and Nutrition Director

- Labeling/Dating of Food
- Food Temperatures
- Food Handling and Storage
- Cooling Logs
- Sanitation and Maintenance

Friends in the Right Places

03

Environmental Services Director

- Waste Flow
- High-Level Dust
- Surface Low Level Disinfection
- Cleanliness

Plant Operations Director

- Pre-Construction Risk Assessments (ICRAs)
- Project and Work Order Prioritization
- Temperature, Humidity, and Air Flow
- Airborne Infection Isolation Rooms

Nursing Department Directors

- General Cleanliness
- Medication Administration
- Bundles

Agenda

01

Infection Prevention Compliance Challenges

02

Making Friends and Influencing People


03

Keys to Success

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.

21

Five Keys to Success



Round, Round, Round

- High risk clinical areas
- Other clinical areas - quarterly
- Non-clinical areas- at least annually
- Offsite laundry (or other contracted clinical service)- at least annually

Escalate

- Do not keep finding non-compliance without clear escalation
- Daily Huddles
- Executive Team through Structure

Who is Responsible?

- Governing Body
- Hospital Executives

Work with QAPI


- Oversight Mechanism
- Advocates for Quality, Safety Compliance

Local Ownership

- Preventionist is Finder, NOT Fixer
- Departments Accountable through Executive Leadership
- Identify and Escalate Persistent Non-Compliance

© 2022 Chartis Clinical Quality Solutions. All Rights Reserved.

22



Questions / Discussion

Avoiding Infection Prevention Survey Catastrophes

Clinical Quality Insights

Thursday, November 17, 2022

CHARTIS | CLINICAL QUALITY SOLUTIONS

FORMERLY KNOWN AS THE GREELEY COMPANY
